

D Macpherson  
90 Plein St  
Cape Town  
8000

Dear Dean

**REFERENCE: PAIA 10633**

Request for access to records in terms of the Promotion of Access to Information Act 2 of 2000 ("the Act")

1. We refer to your request for access to information with regard to:

*A written list and copy of all BEE certificates that have been lodged by any Gupta-linked company with Eskom including: Sahara, Tegeta, Oakbay, Optimum, Trillian Capital Partners and the New Age in relation to any tender awarded to these companies*

2. Eskom has decided to grant access to the following BEE records:

Annexure A – Optimum Coal Mine (Pty) Ltd  
Annexure B – Tegeta exploration and Resources (Pty) Ltd  
Annexure C – Trillian Management Consulting (Pty) Ltd

3. These are the only companies from the list that Eskom has done business with.

4. In an instance where you are not satisfied with the contents of this letter, kindly be advised that the Act provides you with recourse.

Yours sincerely



Suzanne Daniels  
**Information Officer (Delegate)**

Date: 7/9/2017

## BEE~MATRIX

**Broad Based Black Economic Empowerment Scorecard  
Generic Enterprise Certificate**

**Certificate No: BM15680-080916**

**Name of Entity:** Optimum Coal Mine (Pty) Ltd  
**Trading Name:** Not Applicable  
**Entity Registration number:** 2007/005308/07  
**Vat Number:** 4540235613  
**Physical Address:** N11 Hendrina Road, Pullenshope, 1096

**Applicable BEE Codes:** Amended B-BBEE Codes of Good Practice  
**Issue of the Rating Standard applied:** Section 9 of the B-BBEE Act 53 of 2003 (as amended)  
**Applicable scorecard:** Generic Enterprise (> / or = R50 Million annual turnover\*)

Element	Weighting	Score
Ownership	25	18.05
Management Control	19	9.74
Skills Development	25	5.46
Enterprise and Supplier Development	44	19.62
Socio-Economic Development	5	5.00
<b>Overall Score</b>	<b>118</b>	<b>57.86</b>

**Broad Based BEE status level:** LEVEL 8 Contributor to B-BBEE  
**BEE procurement recognition level:** 10%  
**Black Ownership:** 50.04%  
**Black Female Ownership:** 5.13%  
**Black Ownership Designated Groups:** NO  
**Empowering Supplier:** YES

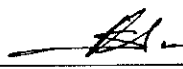
*\* Although the abovementioned is the current level of turnover/income and is closely related to the economic indicators, it may be more or less in future. Consequently, this Certificate does not serve as a guarantee that the income reflected will continue at the same levels.*

*Based on our work performed, we have no reason to believe that the B-BBEE status reflected in this Certificate has not been calculated in all material respects, in accordance with the B-BBEE Amended Codes of Good Practice - Generic Scorecard on Black Economic Empowerment, gazetted on 11 October 2013 in terms of the Broad-Based Black Economic Empowerment Act of South Africa.*

*Our limited assurance report dated 08 September 2016 is available for inspection at the registered office of Optimum Coal Mine (Pty) Ltd together with the accompanying detailed B-BBEE Scorecard and should be referred to for an understanding of our limited assurance engagement and the extent of work performed. This Certificate has been determined, on the basis of information provided by management. We do not accept or assume responsibility to anyone other than Optimum Coal Mine (Pty) Ltd, for our work, for this report, or for the conclusion we have reached.*

Verification Agency: BEE~Matrix (Pty) Ltd  
 Tel: 011 436 1921  
 Fax: 011 436 1941  
 Web: www.beematrix.co.za  
 e-mail: info@beematrix.co.za

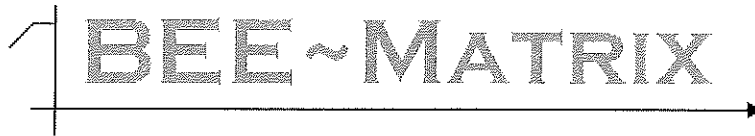
Date of issue: 08 September 2016  
 Expiry date: 07 September 2017  
 Period of validity: 12 Months

  
 Simone Mitchell  
 IRBA Reg No: 497088B  
 Registered Auditor  
 Approved by IRBA to Conduct Assurance  
 Engagements on B-BBEE Verification Certificates

COMMISSIONER OF OATHS

THIS IS TO CERTIFY AS TRUE  
 AND CORRECT BY SIMONE MITCHELL  
 CA.SA 00063701 TEL: 0829252286

ANNEXURE B



**BROAD BASED BLACK ECONOMIC EMPOWERMENT (BBEE)  
EXEMPTED MICRO-ENTERPRISE (EME) CERTIFICATE**

Certificate: EME/ BM15019-110216

<b>Name of Entity:</b>	Tegeta Exploration and Resources (Pty) Ltd
<b>Trading Name</b>	Not Applicable
<b>Entity Registration number:</b>	2006/014492/07
<b>Vat Number</b>	4680233949
<b>Physical Address:</b>	144 Katherine Street Grayston Ridge Office Park , Block A, Lower Ground Floor, Sandton, 2146
<b>Verification Standard Applied:</b>	B-BBEE Codes of Good Practice
<b>Issue of the rating standard applied:</b>	Section 9 of the B-BBEE Act 53 of 2003
<b>Scorecard Applied:</b>	Exempted Micro Enterprise (< R5 Million annual turnover*)

**APPLICABLE SCORECARD  
APPLICABLE BEE CODES**

Exempted Micro Enterprise  
Broad Based BEE Scorecard

<b>Broad Based BEE Status Level</b>	LEVEL 3 Contributor to B-BBEE
<b>BEE Procurement Recognition Level</b>	110%
<b>Black Ownership</b>	50.04%
<b>Black Women Ownership</b>	5.13%
<b>Value Adding Supplier (Yes/No)</b>	NO

*Although the abovementioned is the current level of turnover/income and is closely related to the economic indicators, it may be more or less in future. Consequently, this Certificate does not serve as a guarantee that the income reflected will continue at the same levels. Where the entity is a start-up enterprise this EME Certificate is valid only for contracts with a single value of less than or equal to R 5 million.*

\*Based on our procedures, which do not constitute an audit or review, we have determined that the turnover is less than R 5 million and that the level of Black Ownership/Black Women Ownership is at a LEVEL 3.

We do not accept or assume responsibility to anyone other than Tegeta Exploration and Resources (Pty) Ltd, for our work, for this report, or for the conclusion we have reached.

ISSUED BY: BEE~MATRIX Pty (Ltd)  
TEL: 011 436 1921, FAX: 011 436 1941  
WEB: [www.beematrix.co.za](http://www.beematrix.co.za)  
EMAIL: [info@beematrix.co.za](mailto:info@beematrix.co.za)

  
**Simone Mitchell IRBA Reg No: 497088B**  
Registered Auditor  
Approved by IRBA to Conduct Assurance Engagements on  
B-BBEE Verification Certificates

Date of issue: 11 February 2016  
Expiry date: 10 February 2017  
Period of validity: 12 Months

# ANNEXURE C

## SWORN AFFIDAVIT – B-BBEE EXEMPTED MICRO ENTERPRISE

I, the undersigned,

Full name & Surname	Tebogo Lebello
Identity number	8006135333085

Hereby declare under oath as follows:

- The contents of this statement are to the best of my knowledge a true reflection of the facts.
- I am a member / director / owner of the following enterprise and am duly authorised to act on its behalf:

Enterprise Name	Tullian Management Consulting (Pty) Ltd
Trading Name	same as enterprise name
Registration Number	2015/11709/09
Enterprise Address	44 Melrose Boulevard 2nd floor, XTRA space 2076

- I hereby declare under oath that:
  - The enterprise is 0 % black owned;
  - The enterprise is 0 % black woman owned;
  - Based on the management accounts and other information available on the 2016 financial year, the income did not exceed R10,000,000.00 (ten million rands);
  - Please confirm on the table below the B-BBEE level contributor, by ticking the applicable box.

100% black owned	Level One (135% B-BBEE procurement recognition)	
More than 51% black owned	Level Two (125% B-BBEE procurement recognition)	
Less than 51% black owned	Level Four (100% B-BBEE procurement recognition)	X

- The entity is an empowering supplier in terms of the dti Codes of Good Practice.
- I know and understand the contents of this affidavit and I have no objection to take the prescribed oath and consider the oath binding on my conscience and on the owners of the enterprise which I represent in this matter.
- The sworn affidavit will be valid for a period of 12 months from the date signed by commissioner.

CERTIFIED A TRUE COPY OF THE ORIGINAL

F. Badat  
 FAHEEMA BADAT (CA) SA  
 COMMISSIONER OF OATHS  
 ERNST & YOUNG ADVISORY SERVICES (PTY) L1  
 102 RIVONIA ROAD, SANDTON, 2196  
 11-4-2016

Deponent Signature: T. Lebello

Date: 11/ April / 2016

Commissioner of Oaths  
 Signature & stamp